

UNITED STATES DISTRICT COURT

for the

District of Massachusetts

United States of America

v.

Joseph A. Padilla

Case No.

22-6269

Defendant(s)

CRIMINAL COMPLAINT

I, the complainant in this case, state that the following is true to the best of my knowledge and belief.

On or about the date(s) of February through April 2021 in the county of Norfolk in the
District of Massachusetts, the defendant(s) violated:

*Code Section**Offense Description*

15 U.S.C. §§ 78j(b) and 78ff, 17
 C.F.R. § 240.10b-5, and 18 U.S.C.
 § 2

Securities Fraud

This criminal complaint is based on these facts:

See attached affidavit by FBI Special Agent Keith Brown.

☒ Continued on the attached sheet.

/s/ Keith Brown

*Complainant's signature*

Keith Brown, FBI, Special Agent

Printed name and title

Sworn to before me and signed in my presence.

Date: August 25, 2022City and state: Boston, MA

A handwritten signature in cursive script that reads "Page Kelly".

Judge's signature

Hon. M. Page Kelley, U.S. Chief Magistrate Judge

Printed name and title